

EXISTENCE OF SELF-REVERSE-DUAL M -SEQUENCES

Zhe-xian WAN and Rong-hua XIONG

Institute of Systems Science, Academic Sinica, Beijing 100080, China

Received 22 October 1985

Revised 3 November 1986

1. Introduction

Let $f(x_0, \dots, x_{n-1}) = x_0 + f_0(x_1, \dots, x_{n-1})$ be a nonsingular function from \mathbb{F}_2^n to \mathbb{F}_2 , where \mathbb{F}_2 denotes the Galois field with 2 elements and \mathbb{F}_2^n the n -dimensional vector space over \mathbb{F}_2 . A binary sequence $a = (a_0, a_1, a_2, \dots)$ is called an n -stage feedback shift register sequence with feedback logic f (or generated by f) if $a_{k+n} = f(a_k, a_{k+1}, \dots, a_{k+n-1})$ for all $k \geq 0$. It is known that any n -stage feedback shift register sequence generated by a nonsingular feedback logic f is periodic. The set of all such sequences generated by f is denoted by $\Omega(f)$, and the state diagram of f is denoted by G_f . If $a \in \Omega(f)$ and the period of a (denoted by $p(a)$) is equal to 2^n , then a is called an M -sequence and f an M -logic.

Set

$$\text{Df}(x_0, x_1, \dots, x_{n-1}) = x_0 + f_0(\bar{x}_1, \dots, \bar{x}_{n-1}),$$

$$\text{Rf}(x_0, x_1, \dots, x_{n-1}) = x_0 + f_0(x_{n-1}, \dots, x_1).$$

Then Df and Rf are called the dual function and the reverse function of f respectively, and $\text{RDf} (= \text{DRf})$ is called the reverse-dual function of f . If $\text{RDf} = f$, then f is called a self-reverse-dual function, or in short, SRD function.

It is well-known that if f is an n -stage M -logic, then Df , Rf and RDf are all n -stage M -logics, $\text{Df} \neq f$, $\text{Rf} \neq f$ and $\text{RDf} \neq f$ when n is even. But, when n is odd, there may exist n -stage SRD M -logic. For example, $f(x_0, x_1, x_2) = \bar{x}_0 + \bar{x}_1 x_2$ is a 3-stage SRD M -logic. An unsolved problem is whether there exists an n -stage SRD M -logic for all odd $n \geq 1$. In the present paper, an affirmative answer will be given to this problem. The main results are as follows:

Theorem 1. *There exists an n -stage self-reverse-dual M -logic for all odd $n \geq 3$.*

Theorem 2. *The number of all n -stage self-reverse-dual M -logics is a multiple of $2^{\frac{1}{2}(n-1)}$ for all odd $n \geq 3$.*

2. Two lemmas

Let $a = (a_0, a_1, \dots, a_{m-1}) \in \mathbb{F}_2^m$. Set $\text{RD}(a) = (\bar{a}_{m-1}, \dots, \bar{a}_1, \bar{a}_0)$. Then $\text{RD}: \mathbb{F}_2^m \rightarrow \mathbb{F}_2^m$ is a bijection. a is called a self-reverse-dual (or, in short, SRD) element, if $\text{RD}(a) = a$. It is obvious that, for odd m , $\text{RD}(a) \neq a$ for all $a \in \mathbb{F}_2^m$.

From now on, we always assume that n is odd and ≥ 3 .

Suppose $f = x_0 + f_0(x_1, \dots, x_{n-1})$, $a = (a_0, a_1, a_2, \dots) \in \Omega(f)$, and $p(a) = p$. Denote the cycle of G_f corresponding to a by $(a_0, a_1, a_2, \dots, a_{p-1})$ or $(a_i, a_{i+1}, \dots, a_{i+p-1})$, $i \geq 0$, or in brevity by (a) . Define $\text{RD}(a) = (\bar{a}_{p-1}, \dots, \bar{a}_2, \bar{a}_1, \bar{a}_0)$ and call $\text{RD}(a)$ the reversal-dual cycle of (a) . (a) is called an SRD cycle, if $\text{RD}(a) = (a)$. Obviously, $\text{RD}(a)$ is a cycle of $G_{\text{RD}(f)}$, whenever (a) is a cycle of G_f . Furthermore, set

$$\begin{aligned} s_i(a) &= (a_i, a_{i+1}, \dots, a_{i+n-1}), \\ \tilde{s}_i(a) &= (a_i, a_{i+1}, \dots, a_{i+n-1}, a_{i+n}). \end{aligned}$$

$s_i(a)$ and $\tilde{s}_i(a)$ are called an n -state and an $(n+1)$ -state of (a) respectively. Usually, $s_i(a)$ and $\tilde{s}_i(a)$ are abbreviated as s_i and \tilde{s}_i .

Lemma 1. *Suppose (a) is an SRD cycle, then $p(a)$ is even and there are only two SRD($n+1$)-states of (a) .*

Proof. Since $\text{RD}(a) = (a)$, there exists an integer k , $0 \leq k \leq p-1$, such that

$$(a_k, a_{k+1}, \dots, a_{k+p-1}) = (\bar{a}_{p-1}, \bar{a}_{p-2}, \dots, \bar{a}_1, \bar{a}_0). \quad (1)$$

Then for all z , $0 \leq z \leq p-1$, we have

$$s_{k+z} = \text{RD}(s_{p-z-n}). \quad (2)$$

If one of p and k is odd, then the congruence

$$k+z \equiv p-z-n \pmod{p}$$

has a solution z_0 ($0 \leq z_0 \leq p-1$). Thus by (2),

$$s_{k+z_0} = \text{RD}(s_{p-z_0-n}) = \text{RD}(s_{k+z_0}).$$

This contradicts the assumption that n is odd. Therefore, both p and k are even. In this case, the congruence

$$k+z \equiv p-z-n-1 \pmod{p}$$

has two and only two solutions z_1 and z_2 satisfying $0 \leq z_1, z_2 \leq p-1$ and $z_1 \neq z_2$. We have by (1)

$$\begin{aligned} \tilde{s}_{k+z_1} &= \text{RD}(\tilde{s}_{p-z_1-n-1}) = \text{RD}(\tilde{s}_{k+z_1}), \\ \tilde{s}_{k+z_2} &= \text{RD}(\tilde{s}_{p-z_2-n-1}) = \text{RD}(\tilde{s}_{k+z_2}). \end{aligned}$$

Since $k+z_1 \not\equiv k+z_2 \pmod{p}$, \tilde{s}_{k+z_1} and \tilde{s}_{k+z_2} are two distinct SRD($n+1$)-states

of (a) . Now suppose \tilde{s}_{k+j} , $0 \leq j \leq p-1$, is an $\text{SRD}(n+1)$ -state of (a) , i.e.,

$$\tilde{s}_{k+j} = \text{RD}(\tilde{s}_{k+j}).$$

But by (1) we have

$$\tilde{s}_{k+j} = \text{RD}(\tilde{s}_{p-j-n-1}).$$

Thus

$$\text{RD}(\tilde{s}_{k+j}) = \text{RD}(\tilde{s}_{p-j-n-1}).$$

Since \tilde{s}_{k+j} occurs at most once in (a) , we have necessarily $k+j \equiv p-j-n-1 \pmod{p}$. This implies $j = z_1$ or z_2 . And the lemma is completely proved. \square

Now let $\alpha = (a_1, a_2, \dots, a_{n-1}) \in \mathbb{F}_2^{n-1}$. We use the notation $X(n-1, \alpha)$ to represent the monomial $x_1^{a_1} x_2^{a_2} \cdots x_{n-1}^{a_{n-1}}$, where

$$x_i^{a_i} = \begin{cases} x_i, & \text{if } a_i = 1, \\ x_i + 1, & \text{if } a_i = 0, \end{cases} \quad (i = 1, 2, \dots, n-1).$$

Suppose $f(x_0, x_1, \dots, x_{n-1}) = x_0 + f_0(x_1, \dots, x_{n-1})$ and let

$$E = \{\alpha \in \mathbb{F}_2^{n-1} \mid f_0(\alpha) = 1\},$$

then

$$f(x_0, x_1, \dots, x_{n-1}) = x_0 + \sum_{\alpha \in E} X(n-1, \alpha).$$

Define

$$\text{RD}(E) = \{\text{RD}(\alpha) \mid \alpha \in E\}.$$

Then it is easy to see that $\text{RD}f = f$ iff $\text{RD}(E) = E$.

Lemma 2. Let $f(x_0, x_1, \dots, x_{n-1}) = x_0 + \sum_{\alpha \in E} X(n-1, \alpha)$ be a self-reverse-dual function, then the number of self-reverse-dual elements in E is equal to the number of self-reverse-dual cycles in G_f .

Proof. Let $\alpha = (a_1, a_2, \dots, a_{n-1})$ and set $\tilde{s}_0 = (0, a_1, \dots, a_{n-1}, 1)$, $\tilde{s}_1 = (1, a_1, \dots, a_{n-1}, 0)$. Then $\alpha \in E$ iff both \tilde{s}_0 and \tilde{s}_1 are $(n+1)$ -states of some cycles in G_f . And $\text{RD}(\alpha) = \alpha$ iff $\text{RD}(\tilde{s}_i) = \tilde{s}_i$ ($i = 0, 1$). Thus, if N is the number of SRD elements in E , then $2N$ is the number of $\text{SRD}(n+1)$ -states of cycles in G_f . By Lemma 1, there are exactly two $\text{SRD}(n+1)$ -states in every SRD cycle of G_f . On the other hand, there is no $\text{SRD}(n+1)$ -state on a cycle which is not a SRD one. Hence, the number of SRD cycles in G_f is also N .

3. Proof of Theorem 1

In the first section, we have already mentioned that there is a 3-stage SRD M -logic, i.e., $f(x_0, x_1, x_2) = \bar{x}_0 + \bar{x}_1 x_2$. We shall prove by induction that Theorem 1 holds.

Now let $n \geq 3$ be odd and $f(x_0, x_1, \dots, x_{n-1}) = x_0 + f_0(x_1, \dots, x_{n-1})$ be an n -stage SRD M -logic. We shall construct an $(n+2)$ -stage SRD M -logic from f as follows. At first, we construct the function

$$g(x_0, x_1, \dots, x_{n+1}) = x_0 + x_1 + x_2 \\ + f_0(x_1 + x_2 + x_3, \dots, x_{n-1} + x_n + x_{n+1}) + x_n + x_{n+1}.$$

We have

Lemma 3. *Let f be an n -stage SRD M -logic and $g(x_0, x_1, \dots, x_{n+1})$ be defined as above. Then $\text{RD}g = g$ and G_g consists of two cycles of length 2^n and $3 \cdot 2^n$ respectively.*

Proof. The equality $\text{RD}g = g$ can be verified directly and the second assertion follows from Theorem 3.15 of [2].

Denote the two cycles of G_g by (a) and (b) , where $p(a) = 2^n$, $p(b) = 3 \cdot 2^n$. Since $\text{RD}g = g$ and $p(a) \neq p(b)$, we have $\text{RD}(a) = (a)$, $\text{RD}(b) = b$. Write $g = x_0 + \sum_{\alpha \in E} X(n+1, \alpha)$, where $E \subset \mathbb{F}_2^{n+1}$, then by Lemma 2, the number of SRD elements in E is 2. Let $\beta \in E$ such that $\text{RD}(\beta) = \beta$. Set $E_1 = E \setminus \{\beta\}$ and $g_1(x_0, x_1, \dots, x_{n+1}) = g(x_0, x_1, \dots, x_{n+1}) + X(n+1, \beta)$, then $g_1 = x_0 + \sum_{\alpha \in E_1} X(n+1, \alpha)$ and $\text{RD}g_1 = g_1$. The number of cycles of G_{g_1} is either 1 or 3. We distinguish these two cases

Case 1. G_{g_1} consists of one cycle

In this case, g_1 is an $(n+2)$ -stage SRD M -logic.

Case 2. G_{g_1} consists of 3 cycles

Denote the 3 cycles of G_{g_1} by σ_1 , σ_2 , and σ_3 . Since there is only one SRD element in E_1 , by Lemma 2, there is only one SRD cycle in G_{g_1} . Since $\text{RD}g_1 = g_1$, we may assume that $\text{RD}(\sigma_1) = \sigma_2$ and $\text{RD}(\sigma_3) = \sigma_3$. Since σ_3 is a cycle of length 2^{n+2} , there exists an $(n+2)$ -state $s = (a_0, a_1, \dots, a_{n+1})$ on σ_3 whose conjugate state $s^* = (\bar{a}_0, a_1, \dots, a_{n+1})$ does not lie on σ_3 . We may assume $s^* = (\bar{a}_0, a_1, \dots, a_{n+1})$ is on σ_1 . Then $\text{RD}(s) = (\bar{a}_{n+1}, \dots, \bar{a}_1, \bar{a}_0)$ and $\text{RD}(s^*) = (\bar{a}_{n+1}, \dots, \bar{a}_1, a_0)$ are on cycles σ_3 and σ_2 respectively. Set $\alpha_1 = (a_1, a_2, \dots, a_{n+1})$, $\alpha_2 = (\bar{a}_{n+1}, \dots, \bar{a}_2, \bar{a}_1)$ and

$$g_2(x_0, x_1, \dots, x_{n+1}) \\ = g_1(x_0, x_1, \dots, x_{n+1}) + X(n+1, \alpha_1) + X(n+1, \alpha_2).$$

Since $\text{RD}(\alpha_1) = \alpha_2$, we have $\text{RD}g_2 = g_2$. Obviously, G_{g_2} is the directed graph obtained by joining σ_1 , σ_2 and σ_3 into a single cycle of length 2^{n+2} . Thus $g_2(x_0, x_1, \dots, x_{n+1})$ is an $(n+2)$ -stage SRD M -logic.

Therefore Theorem 1 is proved by mathematical induction. \square

4. Proof of Theorem 2

Let $f(x_0, x_1, \dots, x_{n-1}) = x_0 + \sum_{\alpha \in E} X(n-1, \alpha)$ be an n -stage SRD M -logic. By Lemma 2, there is only one SRD element in E , which will be denoted by α_0 . We take an SRD element β from \mathbb{F}_2^{n-1} and let

$$E_\beta = (E \setminus \{\alpha_0\}) \cup \{\beta\},$$

$$f_\beta(x_0, x_1, \dots, x_{n-1}) = f(x_0, x_1, \dots, x_{n-1}) + X(n-1, \alpha_0) + X(n-1, \beta),$$

then $f_\beta(x_0, x_1, \dots, x_{n-1}) = x_0 + \sum_{\alpha \in E_\beta} X(n-1, \alpha)$; since $\text{RD}(E_\beta) = E_\beta$, we have $\text{RD}(f_\beta) = f_\beta$.

We want to prove that f is also a M -logic.

If $\beta = \alpha_0$, then $f_\beta = f$ and the assertion holds. Now, we assume $\beta \neq \alpha_0$, then $\beta \notin E$. Let

$$g(x_0, x_1, \dots, x_{n-1}) = f(x_0, x_1, \dots, x_{n-1}) + X(n-1, \alpha_0).$$

then $g = x_0 + \sum_{\alpha \in E_0} X(n-1, \alpha)$, where $E_0 = E \setminus \{\alpha_0\}$, G_g consists of two cycles, which will be denoted by σ_1 and σ_2 . Because $\text{RD}(E_0) = E_0$ and E_0 does not contain an SRD element, $\text{RD}g = g$ and G_g does not contain an SRD cycle. Hence we have $\text{RD}(\sigma_1) = \sigma_2$. Write $\beta = (b_1, \dots, b_{n-1})$ and set $\bar{s}_0 = (0, b_1, \dots, b_{n-1}, 0)$, $\bar{s}_1 = (1, b_1, \dots, b_{n-1}, 1)$. Since $\beta \notin E_0$, \bar{s}_0 and \bar{s}_1 are $(n+1)$ -states of cycles in G_g . Without loss of generality, we can assume \bar{s}_0 is an $(n+1)$ -state of σ_1 . Then from $\text{RD}(\bar{s}_0) = \bar{s}_1$ we deduce that \bar{s}_1 is an $(n+1)$ -state of σ_2 . This implies that the two conjugate n -states $(0, b_1, \dots, b_{n-1})$ and $(1, b_1, \dots, b_{n-1})$ are on the cycles σ_1 and σ_2 respectively. So G_{f_β} consists of one cycle which is obtained by joining σ_1 and σ_2 through β . This shows that f_β is an M -logic.

It is easy to show that there are $2^{\frac{1}{2}(n-1)}$ SRD elements in \mathbb{F}_2^{n+1} . By the above discussion we can derive $2^{\frac{1}{2}(n-1)}$ n -stage SRD M -logics from every n -stage SRD M -logic and they can be transformed one into another by using the above method. Therefore the number of n -stage SRD M -logics must be a multiple of $2^{\frac{1}{2}(n-1)}$. This completes the proof of Theorem 2. \square

5. An example

We give the following example for illustration.

Let us start with the 3-stage SRD M -logic $f(x_0, x_1, x_2) = \bar{x}_0 + \bar{x}_1 x_2$, which generates the SRD cycle of length $2^3 = 8$,

$$\sigma = (00010111).$$

In σ we have two SRD 4-states (0101) and (1100). This illustrates Lemma 1.

We may write

$$f(x_0, x_1, x_2) = x_0 + f_0(x_1, x_2),$$

where

$$f_0(x_1, x_2) = x_1x_2 + x_1\bar{x}_2 + \bar{x}_1\bar{x}_2.$$

Then

$$\begin{aligned} E_{f_0} &= \{(\alpha_1, \alpha_2) \in \mathbb{F}_2^2 \mid f_0(\alpha_1, \alpha_2) = 1\} \\ &= \{(11), (10), (00)\}. \end{aligned}$$

The number of SRD elements in E_f is 1 and the number of SRD cycles in G_f is also 1. This illustrates Lemma 2.

Put

$$g(x_0, x_1, x_2, x_3, x_4) = x_0 + x_1 + x_2 + f_0(x_1 + x_2 + x_3, x_2 + x_3 + x_4) + x_3 + x_4$$

We may write

$$g(x_0, x_1, x_2, x_3) = x_0 + \sum_{(\alpha_1, \alpha_2, \alpha_3, \alpha_4) \in E} x_1^{\alpha_1} x_2^{\alpha_2} x_3^{\alpha_3} x_4^{\alpha_4},$$

where

$$E = \{(1111), (1001), (0111), (0110), (0101), (0011), (0001), (0000)\}.$$

There are exactly two SRD elements in E , i.e., (0101) and (0011). G_g consists of two SRD cycles of length $2^3 = 8$ and $3 \cdot 2^3 = 24$ respectively, i.e.,

$$\sigma_1 = (00110101) \quad \text{and} \quad \sigma_2 = (000001111101110010110001).$$

This illustrates Lemma 3. In this case, both (0101) and (0011) appear as 4-states in σ_1 as well as σ_2 . Let $\beta = (0101)$. Set $E_1 = E \setminus \{\beta\}$ and

$$g_1(x_0, x_1, x_2, x_3, x_4) = g(x_0, x_1, x_2, x_3, x_4) + \bar{x}_1x_2\bar{x}_3x_4.$$

Then

$$g_1(x_0, x_1, x_2, x_3, x_4) = x_0 + \sum_{(\alpha_1, \alpha_2, \alpha_3, \alpha_4) \in E} x_1^{\alpha_1} x_2^{\alpha_2} x_3^{\alpha_3} x_4^{\alpha_4}$$

is an SRD function and G_{g_1} consists of an SRD cycle of length $2^5 = 32$. This illustrates the first case of the proof of Theorem 1.

There is only one SRD element in E_1 , i.e., (0011). Put

$$g_2(x_0, x_1, x_2, x_3, x_4) = g_1(x_0, x_1, x_2, x_3, x_4) + \bar{x}_1\bar{x}_2x_3x_4.$$

Then

$$g_2(x_0, x_1, x_2, x_3, x_4) = g(x_0, x_1, x_2, x_3, x_4)$$

and we know that G_f consists of two SRD cycles σ_1 and σ_2 . There are $2^2 = 4$ SRD 4-states, i.e., (0101), (1010), (0011) and (1100). Any one of them appears both in σ_1 and σ_2 . By adding each of $\bar{x}_1x_2\bar{x}_3x_4$, $x_1\bar{x}_2x_3\bar{x}_4$, $\bar{x}_1\bar{x}_2x_3x_4$ and $x_1x_2\bar{x}_3\bar{x}_4$ to $g(x_0, x_1, x_2, x_3, x_4)$ we obtain an SRD M -logic and thus altogether we obtain $2^2 = 4$ SRD M -logics. This illustrates the proof of Theorem 2. \square

References

- [1] S.W. Golomb, Shift Register Sequences, 2nd ed. (Holden-Day, San Francisco, 1982).
- [2] J. Mykkeltveit, M.K. Siu and P. Tong, On the cycle structure of some nonlinear shift register sequences, Inform. and Control 43 (1979) 202–215.